

*This translation is based on the original press release in Japanese. The content of the translation may not be coincident with the original press and mainly focuses on the appointment of executives and staff within divisions relevant to overseas business, e.g. Business Management Line, Global Markets Division, Global Investment Banking Division, Corporate Business Division, and Overseas Offices.

19th September 2024
Daiwa Securities Co. Ltd.

Organizational Change / Appointment of Staff

【Daiwa Securities Co. Ltd.】

I . Organizational Change (as of 1st October 2024)

- (1) Corporate Access Dept. is newly established under Head of Equity, and Corporate Access Office within Institutional Equity Sales Dept. is abolished.
- (2) Global Markets IT Dept. is newly established under Head of Equity and Head of FICC.
- (3) FICC Middle Office Dept under Head of FICC is renamed to Global Markets Middle Office Dept. and part of it's functions are transferred to and co-managed by Head of Equity and Head of FICC.
- (4) Research Banker Team is newly established within Corporate Finance Dept. (I) under Head of Global Investment Banking and Head of Corporate Clients Banking.
- (5) Life Plan Business Dept. under Head of Product Solutions is renamed to Workplace Business Dept.

II . Appointment of Staff (as of 1st October 2024)

(New)	(Name)	(Present)
Appointive Managing Director to Head of Corporate Planning (Seconded to Aozora Bank, Ltd.)	Taro Kitai	Head of Nagoya Corporate Clients Banking Dept.
Head of Corporate Access Dept.	Takeshi Shiraishi	Institutional Equity Sales Dept. Managing Director
Head of Global Markets Middle Office Dept.	Yuichiro Inada	Head of FICC Middle Office Dept.
Head of Global Markets IT Dept.	Shinnosuke Ichii	Global Markets Operations Dept. Managing Director
Appointive Managing Director to Head of Global Investment Banking (Seconded to Daiwa Capital Markets Hong Kong Limited)	Futoshi Aikawa	Equity Capital Markets Dept. Managing Director (Seconded to Daiwa Capital Markets Hong Kong Limited)

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